

Cabibbo-Kobayashi-Maskawa matrix first row unitarity

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Introduction

- Standard Model describes strong, weak and electromagnetic interactions through exchange of gauge bosons.
- Cabibbo-Kobayashi-Maskawa matrix (CKM) contains the coupling between quarks and W boson.

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \longrightarrow \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} + \mathcal{O}(\lambda^4)$$

Wolfenstein
parametrization

$$\lambda = \frac{|V_{us}|}{\sqrt{|V_{ud}|^2 + |V_{us}|^2}} \quad A\lambda^2 = \lambda \left| \frac{V_{cb}}{V_{us}} \right|$$

$$V_{ub}^* = A\lambda^3(\rho + i\eta)$$

- Any deviation from unitarity would indicate the existence of new physics \longrightarrow compute every element with precision

Introduction

- Constraints on the scale of new physics.
- Study of the first row of the matrix and $|V_{us}|$
- Determination of $|V_{us}|$ using semileptonic decays: one-loop corrections in ChPT to reduce error.

Methods to obtain V_{us}

- Extraction of $|V_{us}|$ using semileptonic kaon decays.
- Extraction of $|V_{us}|$ using leptonic kaon decays.
- Extraction of $|V_{us}|$ using hadronic tau decays.

All of these methods need an experimental input and a theoretical input that describes the non-perturbative physics (hadronization) that describes the process.

Methods to obtain V_{us}

Extraction of $|V_{us}|$ using semileptonic kaon decays.

$$K \rightarrow \pi \ell \nu$$

$$\Gamma(K \rightarrow \pi \ell \nu) \propto |V_{us}|^2 |f_+(0)|^2$$

Experimental

lattice

Form factor is defined as

$$\langle \pi(k') | \bar{s} \gamma^\mu u | K(k) \rangle = (p + p')^\mu f_+(q^2) + (p - p')^\mu f_-(q^2) \quad \text{donde } q \equiv p - p'$$

using the average of FLAG (hep-lat 1310.8555)

$$f_+(0) = 0.9634(32) \quad \text{for } N_f = 2 + 1 \quad \text{we obtain}$$

$$|V_{us}| = 0.2247(7) \quad \longrightarrow \quad 0.31\%$$

the theoretical error dominates the calculation of $f_+(0)$

Methods to obtain V_{us}

Extraction of $|V_{us}|$ using leptonic kaon decays.



$$\frac{\Gamma(K \rightarrow l\nu)}{\Gamma(\pi \rightarrow l\nu)} \propto \left| \frac{V_{us}}{V_{ud}} \right|^2 \frac{f_K^2}{f_\pi^2} \quad (\text{Marciano 2005})$$

experimental

lattice

Where f_K and f_π are the decay constants of kaon and pion.

FLAG average is $f_K/f_\pi = 1.192(5)$ so, we obtain

$$\left| \frac{V_{us}}{V_{ud}} \right| = 0.2316(12) \quad \text{using} \quad |V_{ud}| = 0.97417(21) \quad (\text{nucl-ex 1411.5987})$$

$$|V_{us}| = 0.2256(11) \quad \longrightarrow \quad 0.48\%$$

the theoretical error dominates the calculation of f_K/f_π

Methods to obtain V_{us}

Extraction of $|V_{us}|$ using hadronic tau decays.

Experimentally one can distinguish between a hadron decays states with and without strangeness

$$R_\tau \equiv \frac{\Gamma(\tau^- \rightarrow \nu_\tau \text{hadrones})}{\Gamma(\tau^- \rightarrow \nu_\tau e^- \bar{\nu}_e)} = R_{\tau, \text{sin } s} + R_{\tau, \text{con } s}$$

we can obtain $|V_{us}|$ from the subtraction

this quantity vanishes in the SU(3) limit

we obtain

$$|V_{us}| = 0.2173(22) \longrightarrow 1.01\%$$

$$\delta R_\tau \equiv \frac{R_{\tau, \text{sin } s}}{|V_{ud}|^2} - \frac{R_{\tau, \text{con } s}}{|V_{us}|^2}$$

experimental
experimental

↓
theoretical

In this case the error is dominated by the experimental error.

Test of the SM: unitarity of CKM matrix

Study of the unitarity of the CKM matrix

$$|V_u|^2 \equiv |V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$

$$\begin{aligned} |V_{ub}| &= 4.15(49) \times 10^{-3} && \text{is negligible} \\ |V_{ud}| &= 0.97417(21) && \text{nuclear beta decay} \\ & && \text{(nucl-exp 1411.5987)} \end{aligned}$$

we study the unitarity with the values of $|V_{us}|$ obtained by leptonic and semileptonic kaon decays.

Tests of the SM: Lattice QCD

- Tool that can calculate the theoretical inputs more precisely.
- Discretization QCD on a spacetime lattice
(a lattice spacing)
- Statistical and systematic errors (discretization, extrapolation physical masses, FV)
- Calculations are performed by using:
 $N_f = 2 + 1$ \longrightarrow They have included the effects of vacuum polarization from quarks up, down and strange. Quarks up and down are degenerate.
 $N_f = 2 + 1 + 1$ \longrightarrow They have included the effects from quark charm

Tests of the SM: Lattice QCD

- With lattice QCD simulation we can obtain $f_+(0)$ and f_K/f_π for different values of a and the quarks masses .
- Extrapolation to continuous limit, $a \rightarrow 0$
- Extrapolation to physical masses of the quarks up and down.

Tests of the SM: theoretical inputs

We use the results compiled and averaged by FLAG
(Flavour Lattice Averaging Group) (hep-lat 1310.8555)

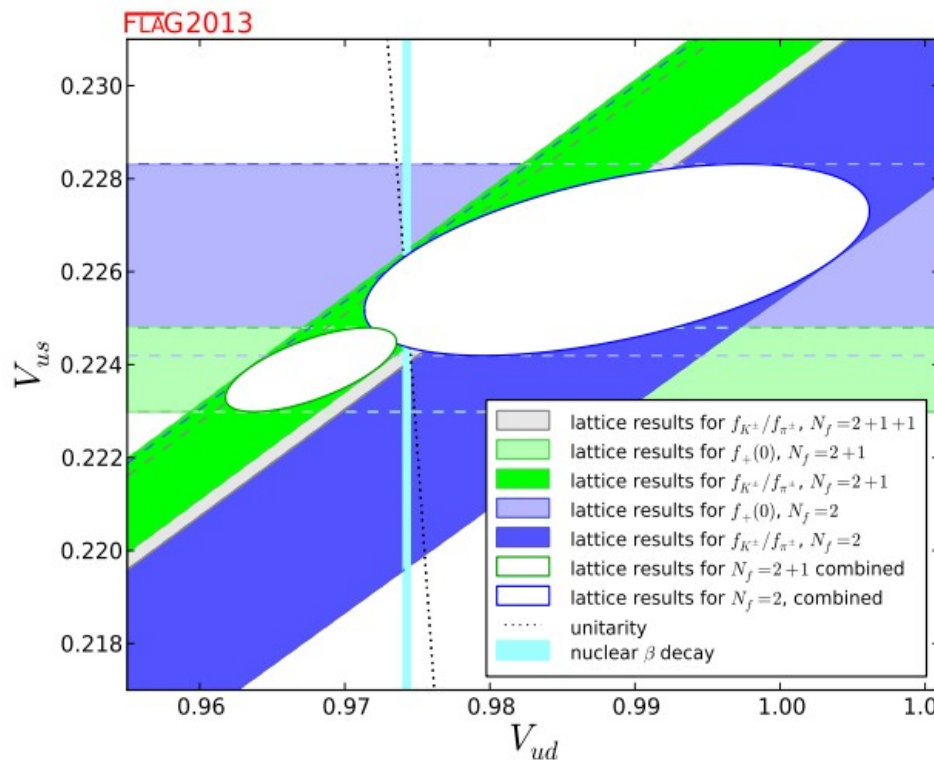
Colaboración	N_f	a partir de	$ V_{us} $
HPQCD 13A	2+1+1	f_{K^\pm}/f_{π^\pm}	0.2255(5)(3)
MILC 13A	2+1+1	f_{K^\pm}/f_{π^\pm}	0.2249(6)(7)
RBC/UKQCD 13	2+1	$f_+(0)$	0.2237(7)(7)
MILC 12	2+1	$f_+(0)$	0.2238(7)(8)
MILC 10	2+1	f_{K^\pm}/f_{π^\pm}	0.2249(5)(9)
RBC/UKQCD 10A	2+1	f_{K^\pm}/f_{π^\pm}	0.2246(22)(25)
BMW 10	2+1	f_{K^\pm}/f_{π^\pm}	0.2259(13)(12)
HPQCD/UKQCD 07	2+1	f_{K^\pm}/f_{π^\pm}	0.2264(5)(13)

	$f_+(0)$	f_{K^\pm}/f_{π^\pm}	$ V_{us} $	$ V_{ud} $
$N_f = 2 + 1 + 1$	0.9611(47)	1.194(5)	0.2251(10)	0.97434(22)
$N_f = 2 + 1$	0.9634(32)	1.197(4)	0.2247(7)	0.97447(18)

Tests of the SM: Results

With experimental averages (hep-ph 1005.2323)

$$|V_{us}| f_+(0) = 0.2163(5), \quad \left| \frac{V_{us}}{V_{ud}} \right| \frac{f_K}{f_\pi} = 0.2758(5)$$



For $N_f = 2 + 1$

$$|V_u|^2 = 0.9993(5) \text{ usando } f_+(0)$$

$$|V_u|^2 = 1.0000(6) \text{ usando } f_K/f_\pi$$

average value

$$|V_u|^2 = 0.987(10)$$

For $N_f = 2 + 1 + 1$

$$|V_u|^2 = 0.9998(7) \text{ usando } f_{K^\pm}/f_{\pi^\pm}$$

Semileptonic decays: new results

$$\text{FNAL/MILC } N_f = 2 + 1 + 1$$

$$f_+(0) = 0.9704(24)(23) = 0.9704(32)$$

Statistical

systematic

We can reduce the error:

- Statistical: increasing the number of simulations, decrease the value a , considering more quark masses and more different values of a .
- Systematic: dominated by finite volume simulations, in the real world the volume is infinity. We need compute the finite volume correction using ChPT.

These corrections are important to reduce the theoretical ($\sim 0.34\%$), to be equal to experimental error ($\sim 0.2\%$).

Chiral perturbation theory (ChPT)

It is the effective field theory of QCD at very low energies

$$E < \Lambda_{QCD} \sim 1\text{GeV}$$

- Concept of effective theory.
- Chiral symmetry of QCD.
- Relevant degrees of freedom: meson.
- Lagrangian and quantities compute with ChPT are organized in increasing powers of momentum.
- We can include analytically discretization and finite volume corrections.

2 loops in ChPT

$$f_+(0) = 1 + f_2(a) + f_4(a=0) + (m_\pi^2 - m_K^2)^2 [C_6 + C_2 a^2]$$

We have to compute the contributions to $f_2(a)$ using ChPT .

Chiral perturbation theory (ChPT)

At lowest order, the most general effective Lagrangian

$$\mathcal{L}_2 = \frac{f^2}{4} \langle D_\mu U^\dagger D^\mu U + U^\dagger \chi + \chi^\dagger U \rangle$$

$$U(\phi) = u(\phi)^2 = \exp\{i\sqrt{2}\Phi/f\}$$

$$\Phi(x) \equiv \frac{\vec{\lambda}}{\sqrt{2}} \vec{\phi} = \begin{pmatrix} \frac{1}{\sqrt{2}}\pi^0 + \frac{1}{\sqrt{6}}\eta_8 & \pi^+ & K^+ \\ \pi^- & -\frac{1}{\sqrt{2}}\pi^0 + \frac{1}{\sqrt{6}}\eta_8 & K^0 \\ K^- & \bar{K}^0 & -\frac{2}{\sqrt{6}}\eta_8 \end{pmatrix}$$

$$D_\mu U = \partial_\mu U + iU\ell_\mu$$

Developing exponential,

$$\frac{e}{\sqrt{2}\sin\theta_w} W_\mu^\dagger \frac{1}{f^2} \left\langle -\frac{1}{3} T_+ \partial^\mu \Phi \Phi \Phi \Phi + T_+ \Phi \partial^\mu \Phi \Phi \Phi - T_+ \Phi \Phi \partial^\mu \Phi \Phi + \frac{1}{3} T_+ \Phi \Phi \Phi \partial^\mu \Phi \right\rangle$$

$$\frac{1}{f^2} \langle -\partial_\mu \Phi \Phi \Phi \partial^\mu \Phi + \Phi \partial_\mu \Phi \Phi \partial^\mu \Phi \rangle$$

$$\frac{e}{\sqrt{2}\sin\theta_w} W_\mu^\dagger \frac{1}{f^2} \langle T_+ \partial^\mu \Phi \Phi - T_+ \Phi \partial^\mu \Phi \rangle$$

Finite volume correction twisted boundary condition

$$\psi(x_k + L) = e^{i\theta_K} \psi(x_k); \quad k = 1, 2, 3 \quad L \text{ Lattice length}$$

for these boundary conditions we have the dispersion relation

$$E^2 = m^2 + (\vec{p}_F + \Delta\theta/L)^2$$

where $\Delta\theta$ is the difference between the twisting angles of the two valence quarks. Changing the angles we can achieve arbitrary momentum and then fit the external momentum to $q^2 = 0$

These boundary conditions are based on replacing the infinite volume integral by a sum over the 3 spacial momentum and a integral over the remaining dimension

$$\int \frac{d^d k_M}{(2\pi)^2} \rightarrow \int_V \frac{d^d k}{(2\pi)^d} \equiv \int \frac{d^{d-3} k}{(2\pi)^{d-3}} \frac{1}{L^3} \sum_{\vec{n} \in \mathbb{Z}^3} \vec{k} = (2\pi\vec{n} + \vec{\theta}_M)/L$$

Finite volume correction twisted boundary condition

$$A(m_1^2) = \frac{1}{i} \int \frac{d^4 k}{(2\pi)^4} \frac{1}{k^2 - m_1^2}$$

$$B(m_1^2, m_2^2, q^2) = \frac{1}{i} \int \frac{d^4 k}{(2\pi)^4} \frac{1}{(k^2 - m_1^2)((k - q)^2 - m_2^2)}$$

$$B_\mu(m_1^2, m_2^2, q^2) = \frac{1}{i} \int \frac{d^4 k}{(2\pi)^4} \frac{k_\mu}{(k^2 - m_1^2)((k - q)^2 - m_2^2)} = q_\mu B_1(m_1^2, m_2^2, q^2)$$

$$A^V(m_N^2, n) = (-1)^n \sum_{\vec{l} \in \mathbb{Z}^3} \int \frac{d\lambda}{\Gamma(n)} \frac{\lambda^{n-3}}{(4\pi)^2} e^{-\lambda m^2} e^{iL^2 \vec{l}^2 / (4\lambda) - i\vec{l} \cdot \vec{\theta}}$$

$$B^V(m_1^2, m_2^2, n_1, n_2, q) = \frac{\Gamma(n_1 + n_2)}{\Gamma(n_1)\Gamma(n_2)} \int_0^1 dx (1-x)^{n_1-1} x^{n_2-1} A^V(\tilde{m}^2, n_1 + n_2)$$

$$\tilde{m}^2 = (1-x)m_1^2 + xm_2^2 - x(1-x)q^2$$

Lorentz invariance break

$$\int_V \frac{d^d k}{(2\pi)^2} \frac{k^\mu}{k^2 - m^2} \neq 0$$

Finite volume correction twisted boundary condition

The form factors are different for finite volume.

For infinite volume;

$$\langle \pi^-(p') | V_\mu^{13} | \bar{K}^0(p) \rangle = f_+(q^2)(p_\mu + p'_\mu) + f_-(q^2)(p_\mu - p'_\mu)$$

$$V_\mu^{13} = \bar{u}\gamma_\mu s \quad q = p - p'$$

and for finite volume;

$$\langle \pi^-(p') | V_\mu^{13} | \bar{K}^0(p) \rangle = f_+^{FV}(q^2)(p_\mu + p'_\mu) + f_-^{FV}(q^2)(p_\mu - p'_\mu) + h_\mu$$

new contribution h_μ and f_+ and f_- take the values f_+^{FV} and f_-^{FV} respectively.

Computation of the form factor using ChPT to one loop

We study the decay $K^0 \rightarrow \pi^+ \ell^- \nu$ using ChPT to one loop with infinite volume.

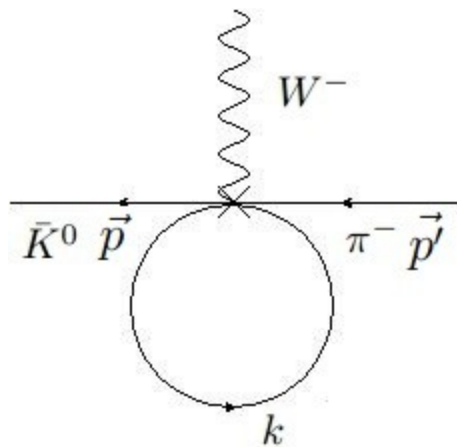


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$$\begin{aligned} \langle \pi^-(p') | V_\mu^{13} | \bar{K}^0(p) \rangle &= \frac{-i^2}{f^2} \left(-\frac{1}{6} \right) \{ -p [4\bar{A}(m_{\pi^+}) + \bar{A}(m_{\pi^0}) + 8\bar{A}(m_{K^0}) + 8\bar{A}(m_{K^+}) + 9\bar{A}(m_\eta)] \\ &\quad - p' [8\bar{A}(m_{K^+}) + 7\bar{A}(m_{\pi^0}) + 4\bar{A}(m_{K^0}) + 8\bar{A}(m_{\pi^+}) + 3\bar{A}(m_\eta)] \} = \\ &= \frac{1}{f^2} \left\{ (p + p') \left[\bar{A}(m_{\pi^+}) + \frac{2}{3}\bar{A}(m_{\pi^0}) + \bar{A}(m_{K^0}) + \frac{4}{3}\bar{A}(m_{K^+}) + \bar{A}(m_\eta) \right] \right. \\ &\quad \left. + (p - p') \left[-\frac{1}{3}\bar{A}(m_{\pi^+}) - \frac{1}{2}\bar{A}(m_{\pi^0}) + \frac{1}{3}\bar{A}(m_{K^0}) + \frac{1}{2}\bar{A}(m_\eta) \right] \right\} \end{aligned}$$

With $\bar{A}(m^2) = -\frac{m^2}{16\pi^2} \ln(m^2)$ the convergent part of the integral.

Computation of the form factor using ChPT to one loop

We can obtain

$$f_+(q^2) = \frac{1}{f^2} \left[\bar{A}(m_{\pi^+}) + \frac{2}{3}\bar{A}(m_{\pi^0}) + \bar{A}(m_{K^0}) + \frac{4}{3}\bar{A}(m_{K^+}) + \bar{A}(m_{\eta}) \right]$$

$$f_-(q^2) = \frac{1}{f^2} \left[-\frac{1}{3}\bar{A}(m_{\pi^+}) - \frac{1}{2}\bar{A}(m_{\pi^0}) + \frac{1}{3}\bar{A}(m_{K^0}) + \frac{1}{2}\bar{A}(m_{\eta}) \right]$$

for **finite volume**

$$f_+^{FV}(q^2) = \frac{1}{f^2} \left[A^V(m_{\pi^+}) + \frac{2}{3}A^V(m_{\pi^0}) + A^V(m_{K^0}) + \frac{4}{3}A^V(m_{K^+}) + A^V(m_{\eta}) \right]$$

$$f_-^{FV}(q^2) = \frac{1}{f^2} \left[-\frac{1}{3}A^V(m_{\pi^+}) - \frac{1}{2}A^V(m_{\pi^0}) + \frac{1}{3}A^V(m_{K^0}) + \frac{1}{2}A^V(m_{\eta}) \right]$$

Computation of the form factor using ChPT to one loop

Considering the derivatives on the external lines

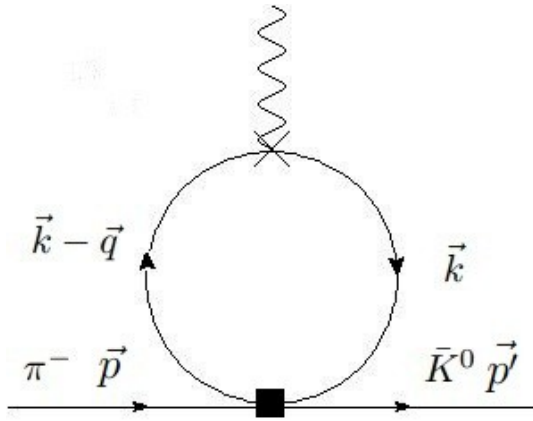


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$$f_-(q^2) = \frac{m_{K^0}^2 + m_{\pi^-}^2 - q^2}{f^2} \left\{ -\frac{3}{4} \bar{B}(m_\eta^2, m_{K^+}^2, q^2) + \frac{3}{2} \bar{B}_1(m_\eta^2, m_{K^+}^2, q^2) \right. \\ \left. + \frac{3}{4} \bar{B}(m_{\pi^0}^2, m_{K^+}^2, q^2) - \frac{3}{2} \bar{B}_1(m_{\pi^0}^2, m_{K^+}^2, q^2) - \frac{1}{2} \bar{B}(m_{K^0}^2, m_{\pi^+}^2, q^2) + \bar{B}_1(m_{K^0}^2, m_{\pi^+}^2, q^2) \right\}$$

$$B(m_1^2, m_2^2, q^2) = \frac{1}{i} \int \frac{d^4k}{(2\pi)^4} \frac{1}{(k^2 - m_1^2)((k - q)^2 - m_2^2)}$$

$$B_1(m_1^2, m_2^2, q^2) = \frac{1}{2q^2} (A(m_2^2) - A(m_1^2) + (m_1^2 - m_2^2 + q^2)B(m_1^2, m_2^2, q^2))$$

Computation of the form factor using ChPT to one loop

For finite volume

$$f_-^{FV}(q^2) = \frac{m_{\bar{K}^0}^2 + m_{\pi^-}^2 - q^2}{f^2} \left\{ -\frac{3}{4}B^V(m_\eta^2, m_{K^+}^2, q^2) + \frac{3}{2}B_1^V(m_\eta^2, m_{K^+}^2, q^2) \right. \\ \left. + \frac{3}{4}B^V(m_{\pi^0}^2, m_{K^+}^2, q^2) - \frac{3}{2}B_1^V(m_{\pi^0}^2, m_{K^+}^2, q^2) - \frac{1}{2}B^V(m_{K^0}^2, m_{\pi^+}^2, q^2) + B_1^V(m_{K^0}^2, m_{\pi^+}^2, q^2) \right\}$$
$$h_\mu(q^2) = \frac{1}{f^2}(m_{\bar{K}^0}^2 + m_{\pi^-}^2 - q^2) \left\{ \frac{3}{2}B_2^V(m_\eta^2, m_{K^+}^2, q^2) - \frac{3}{2}B_2^V(m_{\pi^0}^2, m_{K^+}^2, q^2) \right. \\ \left. + B_2^V(m_{K^0}^2, m_{\pi^+}^2, q^2) \right\}$$

Computation of the form factor using ChPT to one loop

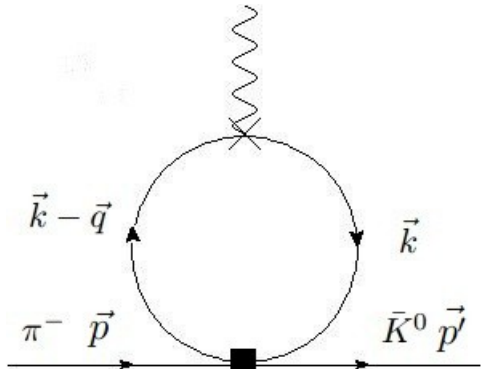


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Considering the derivatives on the internal lines

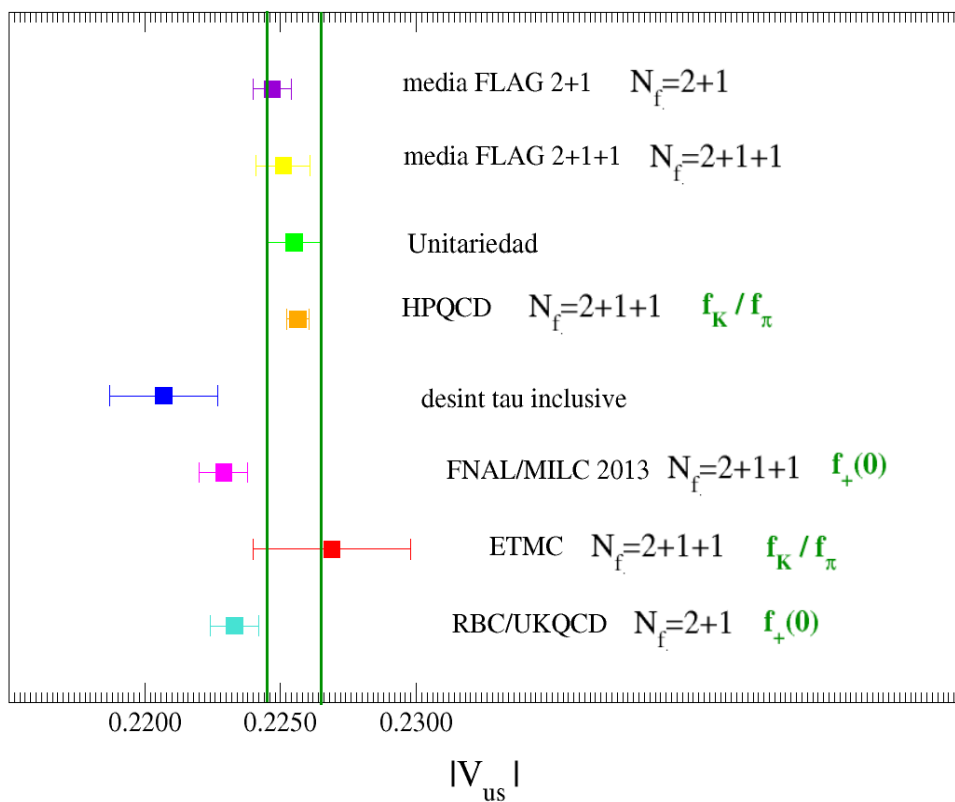
$$f_-(q^2) = \frac{1}{f^2} \left\{ (m_K^2 + m_\pi^2 - q^2) \left[\frac{3}{2} \bar{B}_1(m_{K^+}^2, m_\eta^2, q^2) - \frac{3}{4} \bar{B}(m_{K^+}^2, m_\eta^2, q^2) - \frac{3}{2} \bar{B}_1(m_{K^+}^2, m_{\pi^0}^2, q^2) \right. \right. \\ \left. \left. + \frac{3}{4} \bar{B}(m_{K^+}^2, m_{\pi^0}^2, q^2) + \bar{B}_1(m_{\pi^+}^2, m_{K^0}^2, q^2) - \frac{1}{2} \bar{B}(m_{\pi^+}^2, m_{K^0}^2, q^2) \right] + \frac{3}{4} \bar{A}(m_\eta^2) \right. \\ \left. - \frac{3}{4} \bar{A}(m_{\pi^0}^2) + \frac{1}{2} \bar{A}(m_{K^0}^2) - \frac{1}{2} \bar{A}(m_{\pi^+}^2) + (m_\pi^2 - m_K^2) [\bar{B}(m_{K^+}^2, m_\eta^2, q^2) - 2\bar{B}_1(m_{K^+}^2, m_\eta^2, q^2)] \right\}$$

For finite volume

$$f_-^{FV}(q^2) = \frac{1}{f^2} \left\{ (m_K^2 + m_\pi^2 - q^2) \left[\frac{3}{2} B_1^V(m_{K^+}^2, m_\eta^2, q^2) - \frac{3}{4} B^V(m_{K^+}^2, m_\eta^2, q^2) - \frac{3}{2} B_1^V(m_{K^+}^2, m_{\pi^0}^2, q^2) \right. \right. \\ \left. \left. + \frac{3}{4} B^V(m_{K^+}^2, m_{\pi^0}^2, q^2) + B_1^V(m_{\pi^+}^2, m_{K^0}^2, q^2) - \frac{1}{2} B^V(m_{\pi^+}^2, m_{K^0}^2, q^2) \right] + \frac{3}{4} A^V(m_\eta^2) \right. \\ \left. - \frac{3}{4} A^V(m_{\pi^0}^2) + \frac{1}{2} A^V(m_{K^0}^2) - \frac{1}{2} A^V(m_{\pi^+}^2) + (m_\pi^2 - m_K^2) [B^V(m_{K^+}^2, m_\eta^2, q^2) - 2B_1^V(m_{K^+}^2, m_\eta^2, q^2)] \right\}$$

$$h_\mu(q^2) = \frac{1}{f^2} \left\{ (m_K^2 + m_\pi^2 - q^2) \left[\frac{3}{2} B_2^{V\mu}(m_{K^+}^2, m_\eta^2, q^2) - \frac{3}{2} B_2^{V\mu}(m_{K^+}^2, m_{\pi^0}^2, q^2) + B_2^{V\mu}(m_{\pi^+}^2, m_{K^0}^2, q^2) \right] \right. \\ \left. - A^{V\mu}(m_{K^0}^2) + A^{V\mu}(m_{\pi^+}^2) + (m_\pi^2 - m_K^2) \left[-\frac{2}{3} B_2^{V\mu}(m_{K^+}^2, m_\eta^2, q^2) \right] \right\}$$

Latest results



(nucl-exp 1411.5987)

Using $|V_{ud}| = 0.97417(21)$

and neglecting $|V_{ub}| \approx 10^{-3}$
we obtain:

ETMC $|V_{us}|^2 + |V_{ud}|^2 = 1.0005(13)$

HPQCD $|V_{us}|^2 + |V_{ud}|^2 = 0.9999(4)$

RBC/UKQCD $|V_{us}|^2 + |V_{ud}|^2 = 0.9989(5)$

FNAL/MILC $|V_{us}|^2 + |V_{ud}|^2 = 0.9987(5)$

The leptonic results are agree with unitarity. There are tensions between semileptonic results and unitarity, and between leptonic and semileptonic results.

Conclusion

- We have analyzed different methods for compute $|V_{us}|$. Best results are provided by leptonic and semileptonic decay methods.
- Check the unitarity of the CKM matrix

FNAL/MILC

$$|V_{us}| = 0.22290(90)$$

Tension with unitarity $\sim 2.8\sigma$

- Finite volume correction are need to reduce the error at the semileptonic method and reject (confirm) tension with unitarity.