

# Lattice field theory with dual variables

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## Euclidean path integral, complex action problem and dual representation

- Vacuum expectation values with Feynman's path integral:

$$\langle O \rangle = \frac{1}{Z} \int D[\psi] e^{-S[\psi]} O[\psi]$$

- In a Monte Carlo simulation observables are computed as averages over field configurations  $\psi$  distributed according to

$$P[\psi] = \frac{1}{Z} e^{-S[\psi]}$$

- For finite chemical potential  $\mu$  the action  $S[\psi]$  is complex and the Boltzmann factor cannot be used as probability weight in a stochastic process.

Rewriting a system in terms of new variables where only real and positive terms appear in the partition sum could overcome the complex action problem.

Charged scalar field

## Charged scalar field

- Continuum action:

$$S = \int d^4x \left[ -\phi(x)^* \Delta \phi(x) + [m^2 - \mu^2] |\phi(x)|^2 + \lambda |\phi(x)|^4 \right] + i\mu N$$

- Action on the lattice:

$$S = \sum_x \left[ \kappa |\phi_x|^2 + \lambda |\phi_x|^4 - \sum_{j=1}^3 \left( \phi_x^* \phi_{x+\hat{j}} + \phi_x^* \phi_{x-\hat{j}} \right) - \phi_x^* e^{-\mu} \phi_{x+\hat{4}} - \phi_x^* e^{\mu} \phi_{x-\hat{4}} \right]$$

$$\kappa = 8 + m^2$$

## Dual representation – I

- Expand the individual nearest neighbor terms:

$$e^{-\mu \delta_{\nu,4}} \phi_x^* \phi_{x+\hat{\nu}} = \sum_{j_{x,\nu}=0}^{\infty} \frac{(e^{-\mu \delta_{\nu,4}})^{j_{x,\nu}}}{(j_{x,\nu})!} (\phi_x)^{j_{x,\nu}} (\phi_{x+\hat{\nu}}^*)^{j_{x,\nu}}$$
$$e^{\mu \delta_{\nu,4}} \phi_x^* \phi_{x-\hat{\nu}} = \sum_{\bar{j}_{x,\nu}=0}^{\infty} \frac{(e^{\mu \delta_{\nu,4}})^{\bar{j}_{x,\nu}}}{(\bar{j}_{x,\nu})!} (\phi_x)^{\bar{j}_{x,\nu}} (\phi_{x-\hat{\nu}}^*)^{\bar{j}_{x,\nu}}$$

- Idea:** Use the  $j_{x,\nu}$  and  $\bar{j}_{x,\nu}$  as the new degrees of freedom.
- Remaining  $\phi$ -integrals at a site  $x$  :

$$\int_{\mathbb{C}} d\phi_x e^{-\kappa|\phi_x|^2 - \lambda|\phi_x|^4} (\phi_x)^{F(j,\bar{j})} (\phi_x^*)^{\bar{F}(j,\bar{j})}$$

$F_x(j,\bar{j}), \bar{F}_x(j,\bar{j}) \in \mathbb{N}_0$  are linear combinations of the  $j$  and  $\bar{j}$  variables attached to the site  $x$ . They correspond to the total  $j, \bar{j}$ -flux at  $x$ .

## Dual representation – II

- Using  $\phi_x = r e^{i\theta}$  the integrals at a site  $x$  read:

$$\int_{\mathbb{C}} d\phi_x e^{-\kappa|\phi_x|^2 - \lambda|\phi_x|^4} (\phi_x)^{F(j,\bar{j})} (\phi_x^*)^{\bar{F}(j,\bar{j})} = \int_0^\infty dr r^{F_x + \bar{F}_x + 1} e^{-\kappa r^2 - \lambda r^4} \int_{-\pi}^\pi d\theta e^{i\theta[F_x - \bar{F}_x]} = \mathcal{I}(F_x + \bar{F}_x) \delta(F_x - \bar{F}_x)$$

- At every site there is a weight factor  $\mathcal{I}(F_x + \bar{F}_x)$  and a constraint.
- The constraint  $\delta(F_x - \bar{F}_x)$  forces the total flux  $F_x - \bar{F}_x$  at  $x$  to vanish.
- The structure can be simplified by using linear combinations  $k_{x,\nu} \in \mathbb{Z}$  and  $l_{x,\nu} \in \mathbb{N}_0$  of the original variables  $j_{x,\nu}$  and  $\bar{j}_{x,\nu}$ .
- Only the  $k_{x,\nu}$  are subject to constraints.

## Dual representation – III (final form)

- The original partition function is mapped **exactly** to a sum over configurations of the dual variables  $k_{x,\nu} \in \mathbb{Z}$  and  $l_{x,\nu} \in \mathbb{N}_0$  :

$$Z = \sum_{\{k,l\}} \mathcal{W}(k,l) \mathcal{C}(k)$$

- Weight factor (real and positive):

$$\begin{aligned} \mathcal{W}(k,l) &= \prod_{x,\nu} \frac{1}{(|k_{x,\nu}| + l_{x,\nu})! l_{x,\nu}!} \\ &\times \prod_x e^{-\mu k_{x,4}} \mathcal{I} \left( \sum_{\nu} [ |k_{x,\nu}| + |k_{x-\hat{\nu},\nu}| + 2(l_{x,\nu} + l_{x-\hat{\nu},\nu}) ] \right) \end{aligned}$$

- Constraint (only for  $k$ -variables):

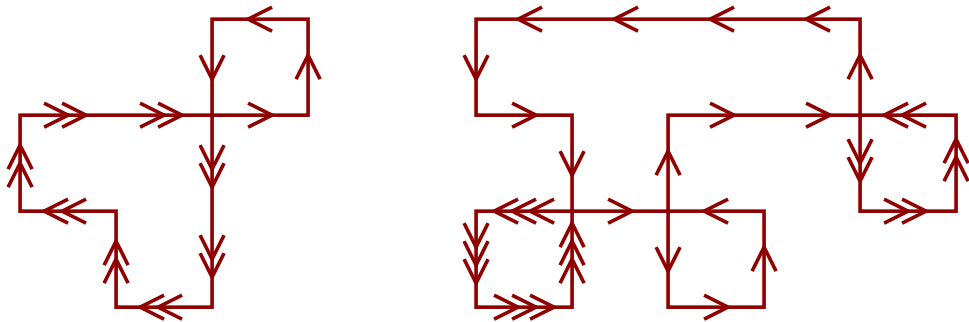
$$\mathcal{C}(k) = \prod_x \delta \left( \sum_{\nu} [ k_{x,\nu} - k_{x-\hat{\nu},\nu} ] \right)$$

Admissible configurations are loops:

- Constraint from the integration over the U(1) phases:

$$\forall x : \quad f_x = \sum_{\nu} [k_{x,\nu} - k_{x-\hat{\nu},\nu}] = 0$$

- Admissible configurations of dual variables are oriented loops of flux:



- Chemical potential gives different weight to forward and backward temporal flux. Particle number = net winding number of  $k$ -flux.



## Coupling gauge fields

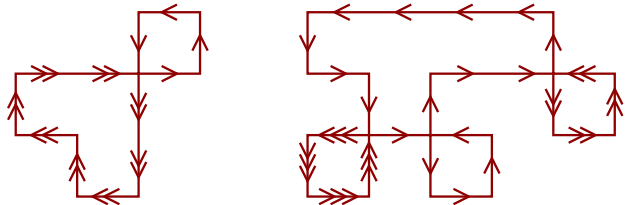
- The nearest neighbor terms can be gauged:

$$e^{-\mu \delta_{\nu,4}} \phi_x^* U_{\nu,x} \phi_{x+\hat{\nu}} = \sum_{j_{x,\nu}=0}^{\infty} \frac{(e^{-\mu \delta_{\nu,4}})^{j_{x,\nu}}}{(j_{x,\nu})!} (U_{\nu,x})^{j_{x,\nu}} (\phi_x)^{j_{x,\nu}} (\phi_{x+\hat{\nu}}^*)^{j_{x,\nu}}$$

- Additional weight factor in the final form of the dual representation:

$$\prod_{x,\nu} (U_{x,\nu})^{k_{x,\nu}}$$

- Loops are dressed with gauge transporters.



Scalar QED / U(1) gauge Higgs model with 2 flavors

## Scalar QED / U(1) gauge Higgs model with 2 flavors

Continuum action:

$$\begin{aligned} S = & \int d^4x \left\{ -\phi(x)^* \left[ \partial_\nu + iA_\nu(x) \right] \left[ \partial_\nu + iA_\nu(x) \right] \phi(x) \right. \\ & \left. + [m_\phi^2 - \mu_\phi^2] |\phi(x)|^2 + \lambda_\phi |\phi(x)|^4 \right\} + i\mu_\phi N_\phi \\ & + \int d^4x \left\{ -\chi(x)^* \left[ \partial_\nu - iA_\nu(x) \right] \left[ \partial_\nu - iA_\nu(x) \right] \chi(x) \right. \\ & \left. + [m_\chi^2 - \mu_\chi^2] |\chi(x)|^2 + \lambda_\chi |\chi(x)|^4 \right\} + i\mu_\chi N_\chi \\ & + \frac{1}{4} \int d^4x F_{\rho\sigma} F_{\rho\sigma} \end{aligned}$$

## Adding dynamical gauge fields in the dual representation

- Two copies of the loop sum integrated over gauge fields:

$$Z = \sum_{\{k,l,\bar{k},\bar{l}\}} \mathcal{W}_\phi(k,l) \mathcal{W}_\chi(\bar{k},\bar{l}) \mathcal{C}(k) \mathcal{C}(\bar{k}) \\ \times \int D[U] \exp \left( \beta \sum_{x,\rho < \sigma} \text{Re} U_{x,\rho} U_{x+\hat{\rho},\sigma} U_{x+\hat{\sigma},\rho}^* U_{x,\sigma}^* \right) \prod_{x,\nu} (U_{x,\nu})^{k_{x,\nu} - \bar{k}_{x,\nu}}$$

- Expansion of the Boltzmann factor ....

$$e^{\beta \sum_{x,\rho < \sigma} \text{Re} U_{x,\rho} U_{x+\hat{\rho},\sigma} U_{x+\hat{\sigma},\rho}^* U_{x,\sigma}^*} = \sum_{p_{x,\rho\sigma}} \frac{\beta^{p_{x,\rho\sigma}}}{(p_{x,\rho\sigma})!} \left[ U_{x,\rho} U_{x+\hat{\rho},\sigma} U_{x+\hat{\sigma},\rho}^* U_{x,\sigma}^* \right]^{p_{x,\rho\sigma}}$$

... leads to new integer valued dual variables  $p_{x,\rho\sigma}$  on the plaquettes.

- Integrating the gauge fields  $dU_{x,\sigma}$  gives rise to new constraints that connect  $p_{x,\rho\sigma}$ ,  $k_{x,\nu}$  and  $\bar{k}_{x,\nu}$  at each link.

## Dual form of the partition function:

The original partition sum is mapped **exactly** to a sum over loop and surface configurations:

$$Z = \sum_{\{p,k,l,\bar{k},\bar{l}\}} \mathcal{W}_G(p) \mathcal{W}_\phi(k, l) \mathcal{W}_\chi(\bar{k}, \bar{l}) \mathcal{C}_L(p, k, \bar{k}) \mathcal{C}_S(k) \mathcal{C}_S(\bar{k})$$

$\mathcal{W}_G(p)$  : plaquette-based weight factor for gauge variables  $p$

$\mathcal{W}_\chi(k, l), \mathcal{W}_\phi(\bar{k}, \bar{l}),$  : link-based weight factor for matter variables  $k, l, \bar{k}, \bar{l}$

$\mathcal{C}_L(p, k, \bar{k})$  : link-based constraint  $\Rightarrow$  gauge surfaces

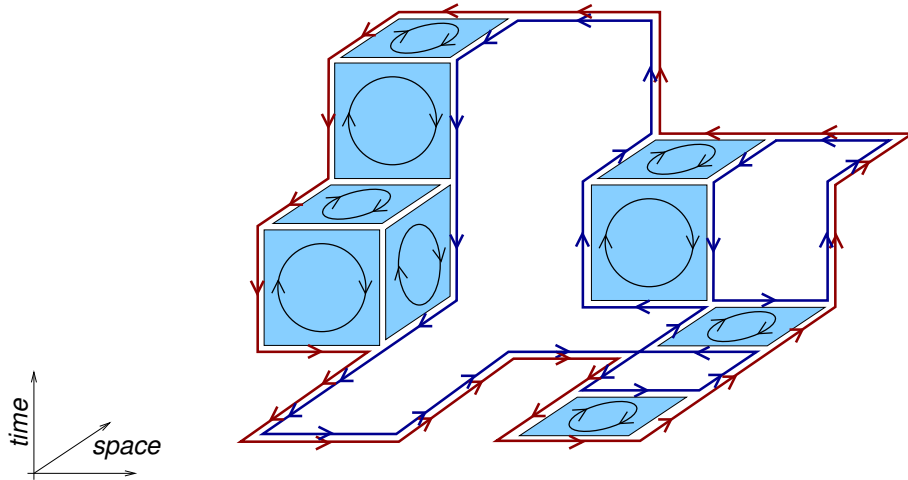
$\mathcal{C}_S(k), \mathcal{C}_S(\bar{k})$  : site-based constraint  $\Rightarrow$  matter loops

$$\mathcal{C}_L[p, k, \bar{k}] = \prod_{x,\nu} \delta \left( \sum_{\rho:\nu<\rho} [p_{x,\nu\rho} - p_{x-\hat{\rho},\nu\rho}] - \sum_{\rho:\nu>\rho} [p_{x,\rho\nu} - p_{x-\hat{\rho},\rho\nu}] + k_{x,\nu} - \bar{k}_{x,\nu} \right)$$

$$\mathcal{C}_S[k] = \prod_x \delta \left( \sum_{\nu=1}^4 [k_{x-\hat{\nu},\nu} - k_{x,\nu}] \right)$$

Suitable worm algorithms

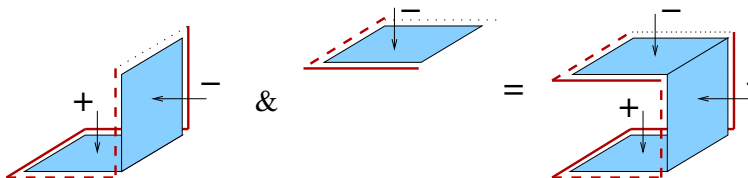
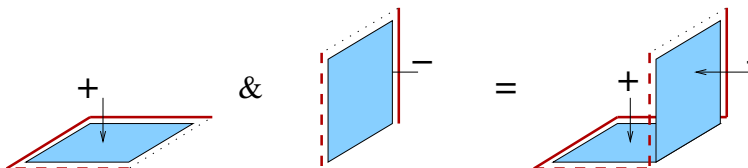
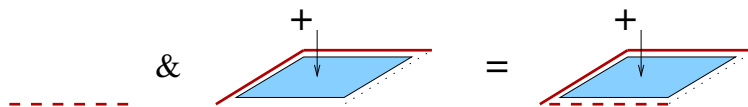
An admissible configuration:



Chemical potential favors flux forward in time.

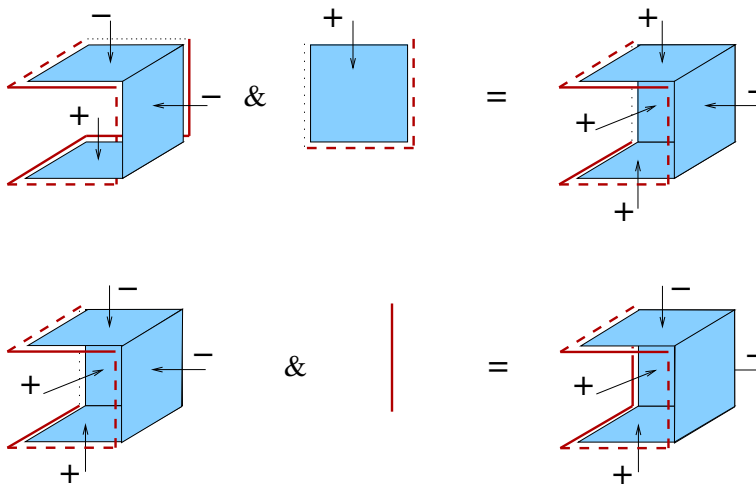
Generalized worm algorithm for gauge Higgs systems:

Worm starts by inserting a unit of matter flux. Adding segments transports both the site and link defects across the lattice ....





## Generalized worm algorithm for gauge Higgs systems



Algorithm was tested in the 1-flavor U(1) model and in a  $\mathbb{Z}_3$  gauge Higgs model at finite  $\mu$ . Clearly outperforms local dual update.

## Bulk observables

- Bulk observables are obtained as derivatives of the free energy with respect to the parameters.
- They have the form of averages and fluctuations of the dual variables.
- Observables related to the particle number:

$$n = \frac{T}{V} \frac{\partial \ln Z}{\partial \mu} = \frac{1}{N_s^3 N_t} \frac{\partial \ln Z}{\partial \mu} , \quad \chi_n = \frac{\partial n}{\partial \mu}$$

- Observables related to field expectation values:

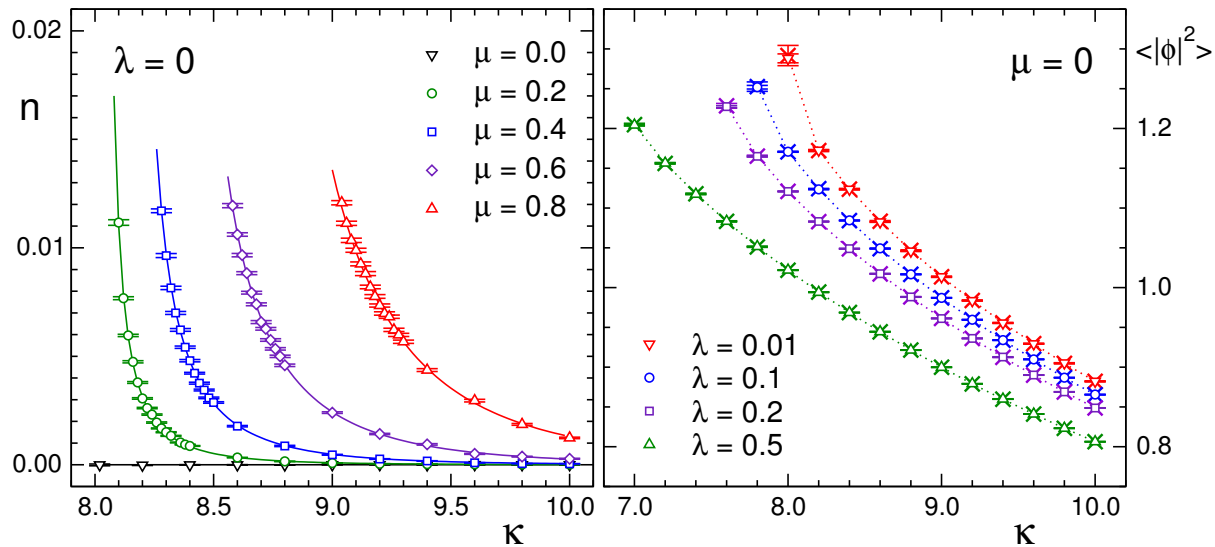
$$\langle |\phi|^2 \rangle = \frac{-T}{V} \frac{\partial \ln Z}{\partial \kappa} = \frac{-1}{N_s^3 N_t} \frac{\partial \ln Z}{\partial \kappa} , \quad \chi_\phi = \frac{-\partial \langle |\phi|^2 \rangle}{\partial \kappa}$$

- Dual forms:

$$n = \frac{1}{N_s^3 N_t} \left\langle \sum_x k_{x,4} \right\rangle , \quad \langle |\phi|^2 \rangle = \frac{1}{N_s^3 N_t} \left\langle \sum_x \frac{\mathcal{I}(f_x + 2)}{\mathcal{I}(f_x)} \right\rangle$$

## Checks - I

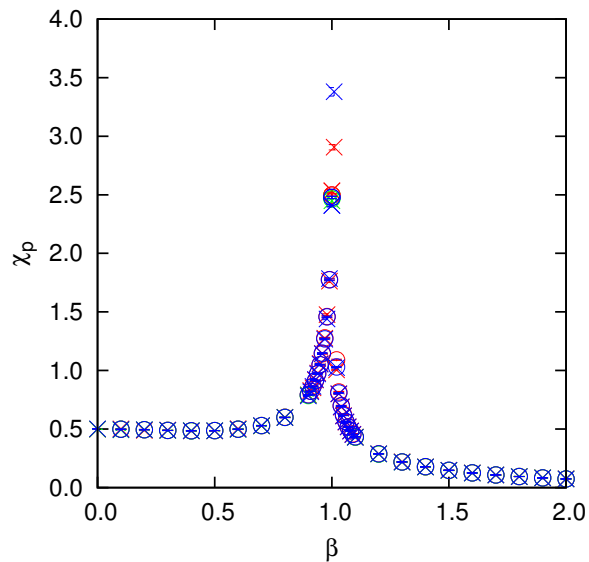
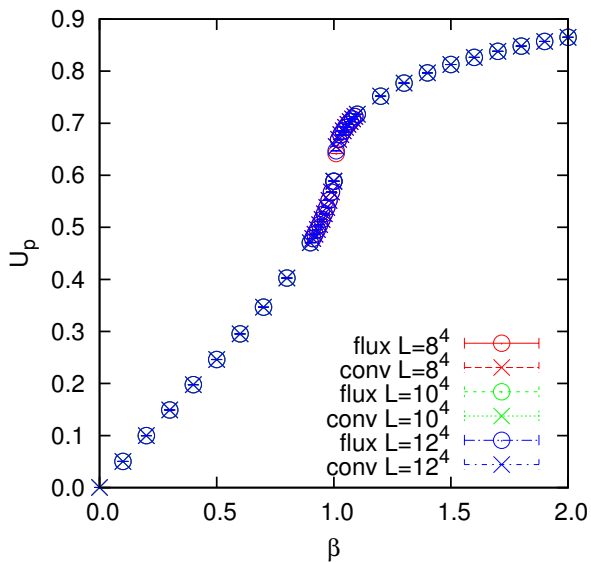
Simulation with dual variables can be checked with high precision:  
(here for  $\beta = \infty$ )



## Checks - II

Comparison to conventional simulation:

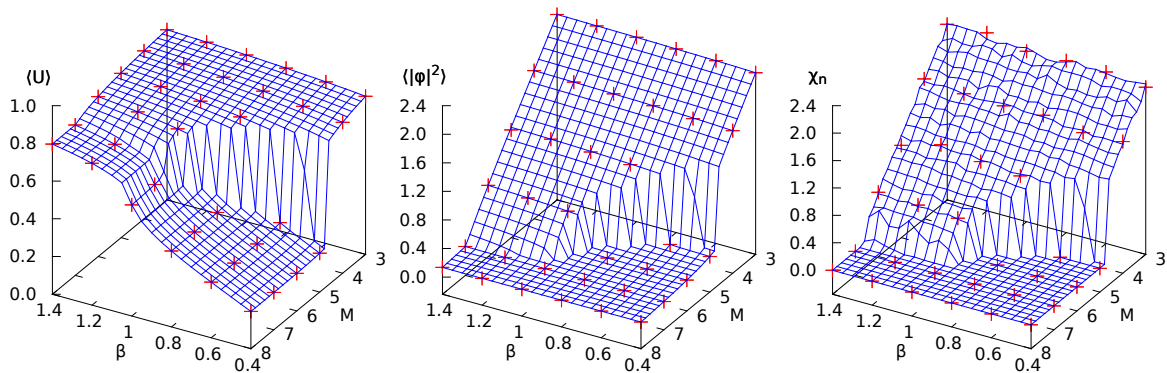
$(\mu_\phi = \mu_\chi = 0, \kappa_\phi = \kappa_\chi = 9.0, \lambda_\phi = \lambda_\chi = 0.0)$



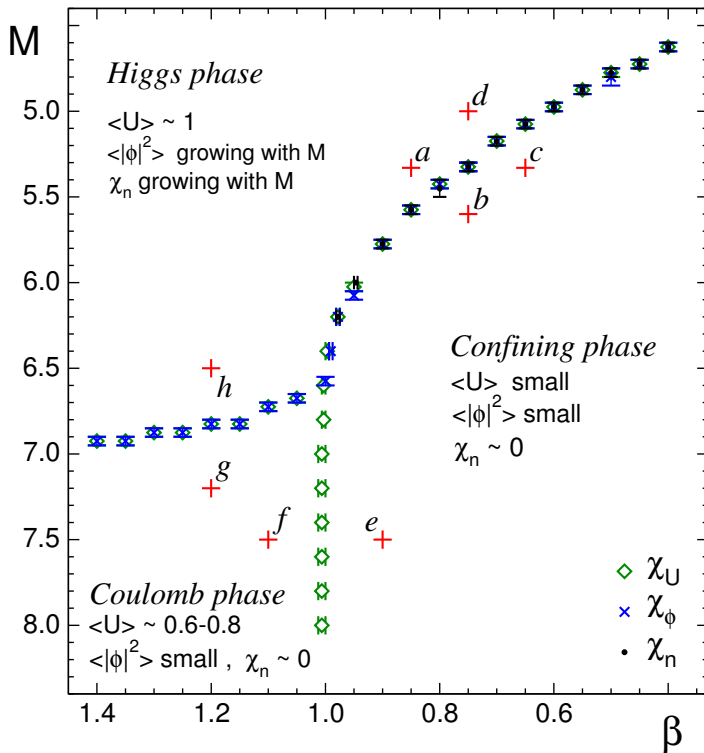
Phase diagram

## Bulk observables at $\mu = 0$

Using:  $\kappa_\phi = \kappa_\chi = M$ ,  $\lambda_\phi = \lambda_\chi = 1.0$

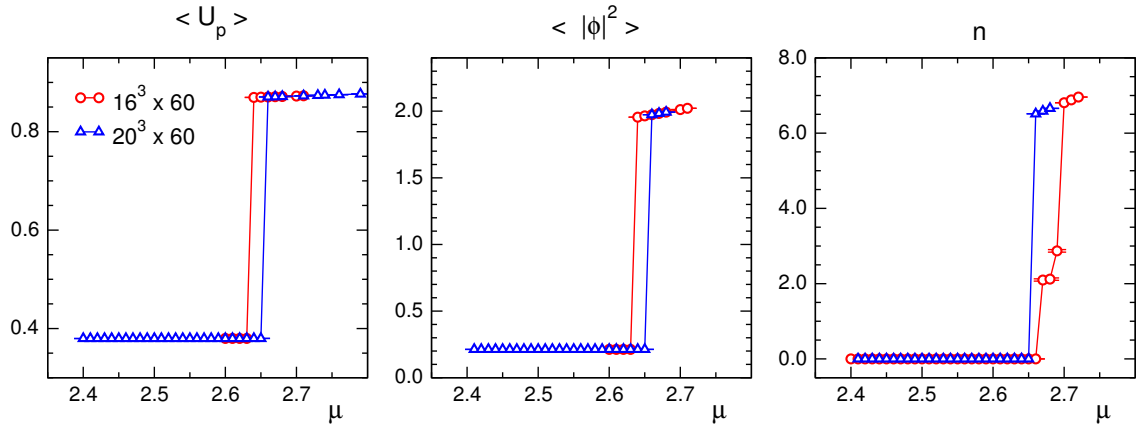


## Phase diagram at $\mu = 0$



## Turning on chemical potential

A point in the confining phase:  $\kappa_\phi = \kappa_\chi = 5.73$ ,  $\lambda_\phi = \lambda_\chi = 1.0$ ,  $\beta = 0.75$

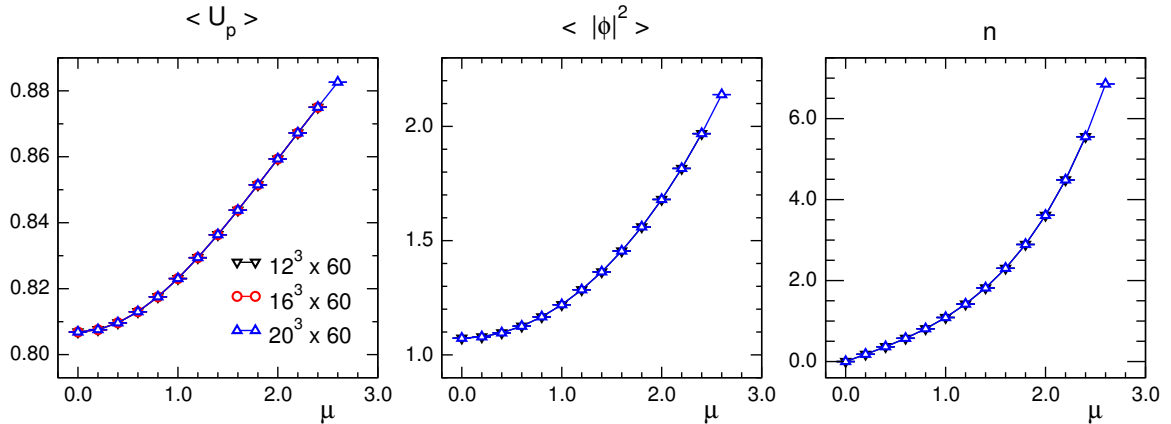


Silver blaze region that ends in a strong first order transition leading back into the Higgs phase.



## Turning on chemical potential

A point in the Higgs phase:  $\kappa_\phi = \kappa_\chi = 5.325$ ,  $\lambda_\phi = \lambda_\chi = 1.0$ ,  $\beta = 0.85$



Massless excitations in the Higgs phase  $\rightarrow$  no Silver blaze behaviour.

# Spectroscopy with dual variables

## Spectroscopy for the charged scalar at finite density

- Zero momentum propagator

$$C(t) = \sum_{\vec{x}} \langle \phi_{\vec{x},t} \phi_{\vec{0},0}^* \rangle \propto e^{-E_0 t}$$
$$\langle \phi_y \phi_z^* \rangle = \frac{1}{Z} \int D[\phi] e^{-S} \phi_y \phi_z^* = \frac{Z_{y,z}}{Z}$$

- Dual representation of the partition sum  $Z_{y,z}$  with two insertions:

$$Z_{y,z} = \sum_{\{k,l\}} \prod_{x,\nu} \frac{1}{(|k_{x,\nu}| + l_{x,\nu})! l_{x,\nu}!} \prod_x \delta\left(\sum_{\nu} [k_{x,\nu} - k_{x-\hat{\nu},\nu}] - \delta_{x,y} + \delta_{y,z}\right)$$
$$\times \prod_x e^{-\mu k_{x,4}} \mathcal{I}\left(\sum_{\nu} [|k_{x,\nu}| + |k_{x-\hat{\nu},\nu}| + 2(l_{x,\nu} + l_{x-\hat{\nu},\nu})] + \delta_{x,y} + \delta_{y,z}\right)$$

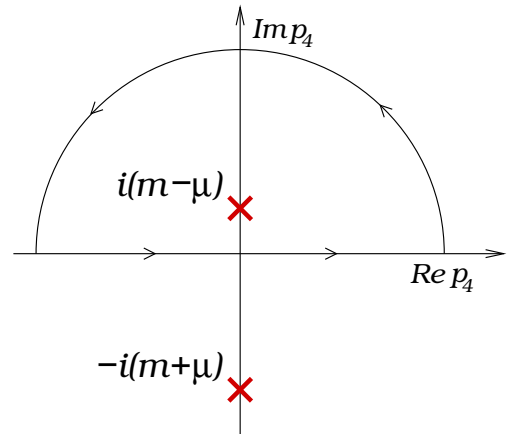
- Admissible configurations in  $Z_{y,z}$  :

Closed loops of flux plus an open string of flux connecting  $y$  and  $z$ .

## Worm strategy for correlators

- Since  $Z_{y,z}$  consists of closed loop plus a single open string, every step of the worm corresponds to an admissible configuration for some  $Z_{u,v}$ .
- In our propagators we project to zero momentum, i.e., the spatial lattice indices are summed.
- To compute  $C(t)$  one simply evaluates the temporal distance  $t$  of head and tail of the worm at every step and  $C(t)$  is obtained as a histogram.

What do we expect? Analysis of the free case in the continuum.



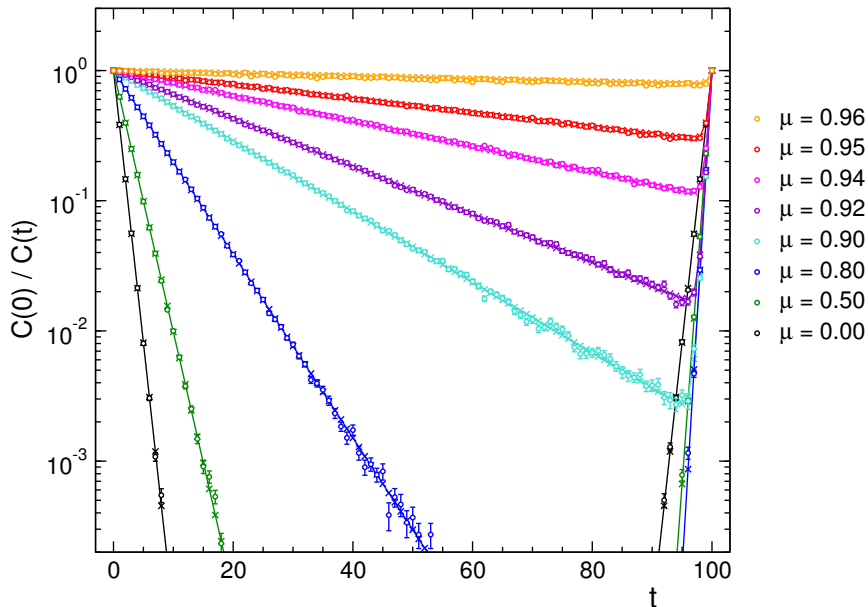
- Propagator in the continuum:

$$C(t) = \int \frac{dp_4}{2\pi} \frac{e^{ip_4 t}}{[p_4 - i(m - \mu)][p_4 + i(m + \mu)]}$$

- Asymmetry between forward and backward propagation:

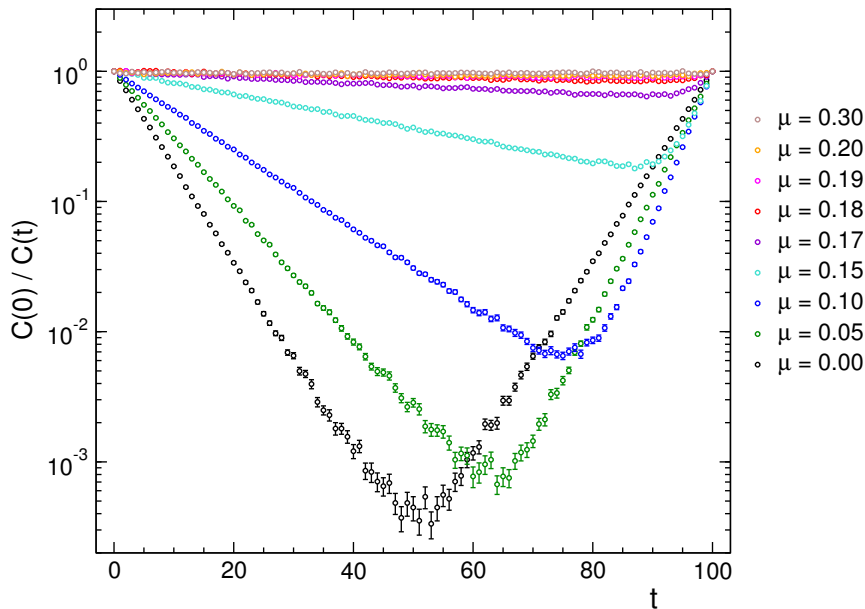
$$C(t) \propto \begin{cases} e^{-(m-\mu)t} & \text{for } t > 0 \\ e^{+(m+\mu)t} & \text{for } t < 0 \end{cases}$$

## Test of free propagators against (lattice) Fourier transformation



Excellent agreement indicates that the finite density propagators computed from the dual representation are under control. ( $16^3 \times 100$ ,  $m = 1$ ,  $\lambda = 0$ )

## Propagators at non zero coupling



Asymmetric propagation for  $\mu < \mu_c \simeq 0.17$ . Condensation (= constant propagator) for  $\mu$  above  $\mu_c$ . ( $16^3 \times 100$ ,  $\kappa = 7.44$ ,  $\lambda = 1$ )

## Summary:

- Considerable progress was made towards rewriting several systems in representations where the partition sum has only real and positive terms.
- Dual degrees of freedom are surfaces for gauge fields and loops for matter.
- Constraints for dual variables can be handled with worm-type algorithms.
- Interesting new algorithmic options when surfaces have boundaries.
- Spectroscopy is under control.
- Systems may serve as solved test cases for other approaches.